

# Identification problems in graphs

selected topics

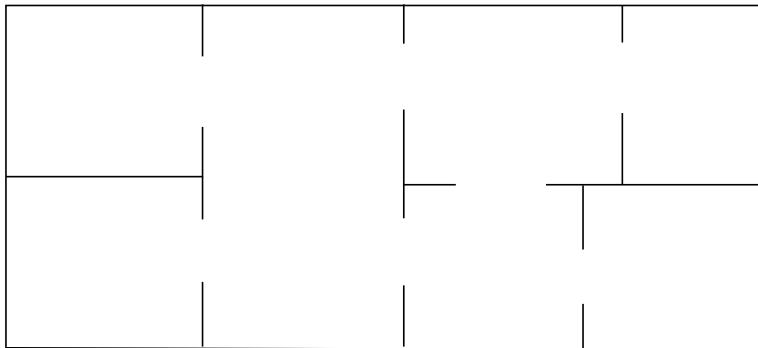
**Florent Foucaud**



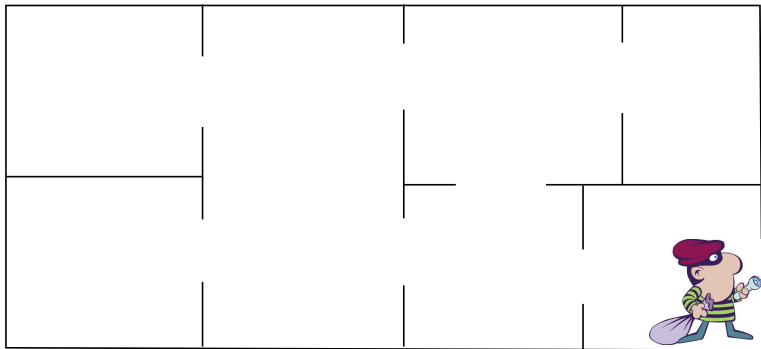
JGA 2023, Lyon



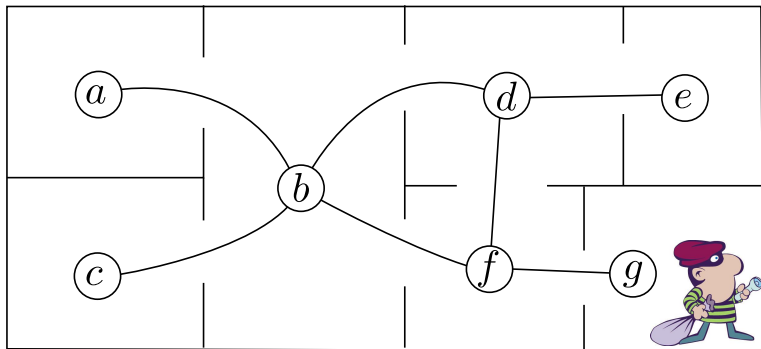
# Locating a burglar



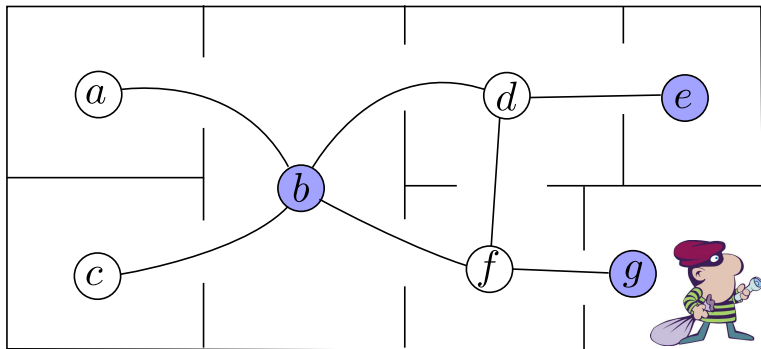
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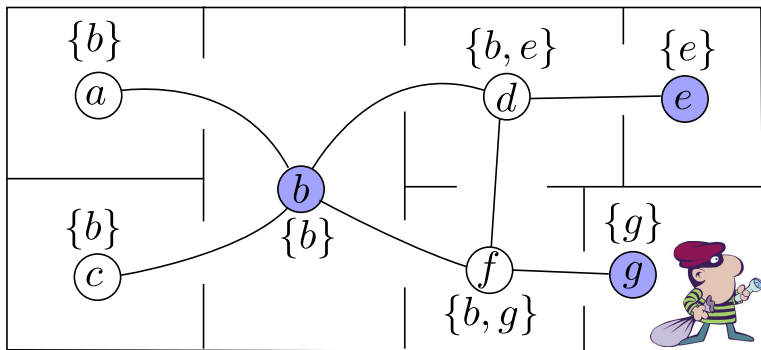


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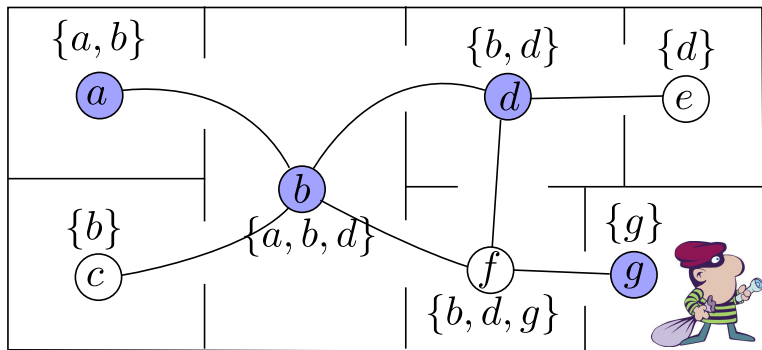
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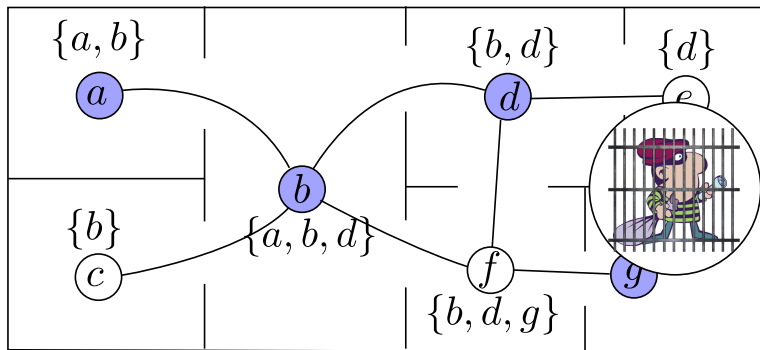
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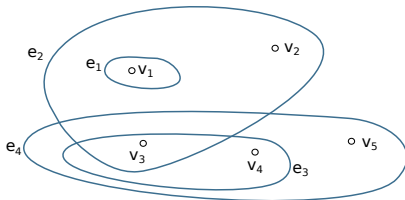


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Hypergraph  $(X, \mathcal{E})$ . A **separating set** is a subset  $C \subseteq X$  such that each edge  $e \in \mathcal{E}$  contains a distinct subset of  $C$ .



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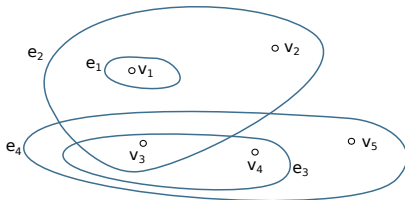
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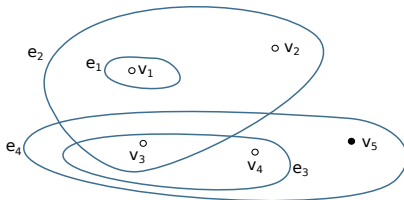
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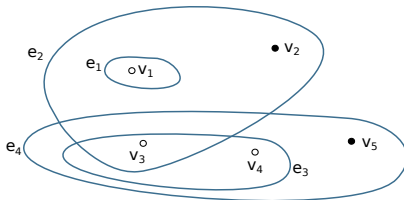
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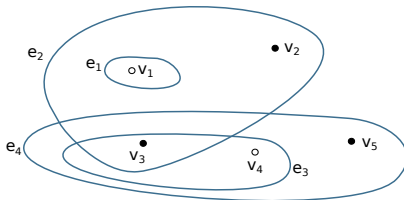
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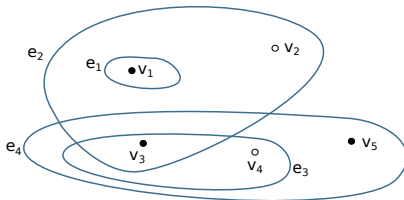
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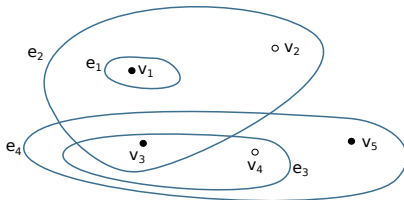
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Also known as **Separating system**, **Distinguishing set**, **Test cover**, **Distinguishing transversal**, **Discriminating code**...



- network-monitoring, fault detection (burglar)
- medical diagnostics: testing samples for diseases (*test cover*)
- biological identification (attributes of individuals)
- learning theory: teaching dimension
- machine learning: V-C dimension (Vapnik, Červonenkis, 1971)
- graph isomorphism: canonical representation of graphs (Babai, 1982)
- logic definability of graphs (Kim, Pikhurko, Spencer, Verbitsky, 2005)

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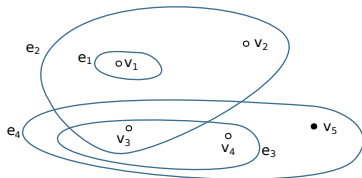
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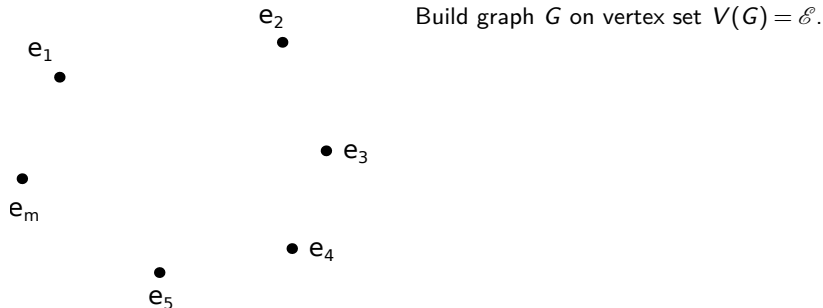
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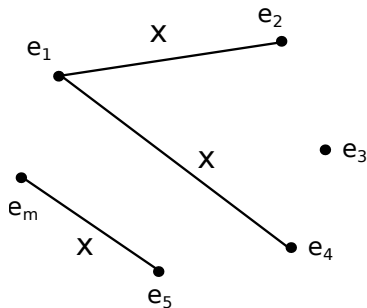
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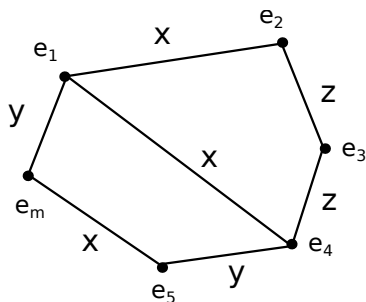
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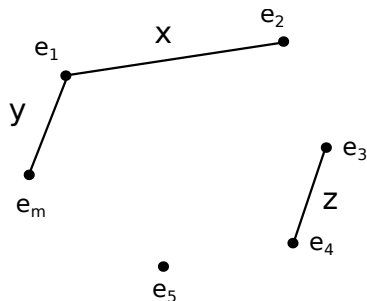
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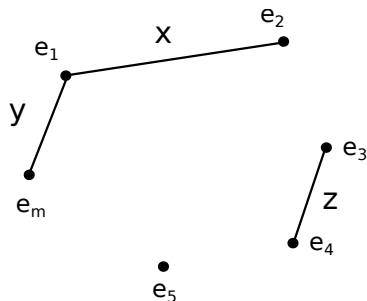
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So, at most  $|\mathcal{E}| - 1$  "problematic" vertices.

→ Find "non-problematic vertex", omit it. □

# Some example problems

Special cases of separating sets in hypergraphs (graph-based):

- identifying codes
- **open identifying codes**
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Distance-based identification:

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- centroidal locating sets
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Coloring-based identification

- Adjacent vertex-distinguishing edge-coloring
- locally identifying coloring
- locating coloring
- neighbor-locating coloring

# Open identifying codes in graphs

(a.k.a. open locating-dominating sets)



# Open identifying codes

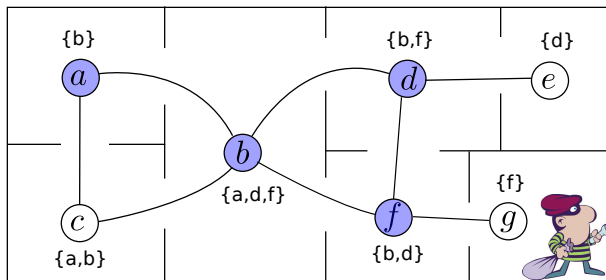
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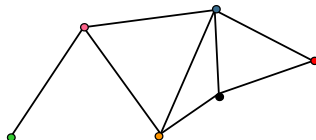
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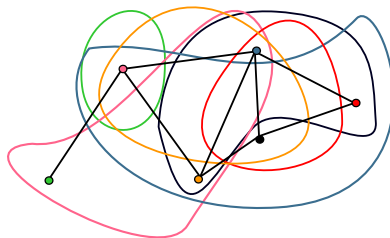
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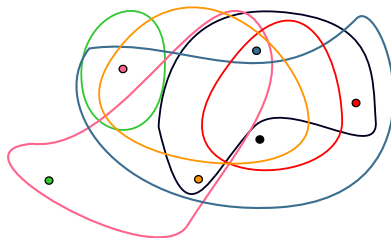
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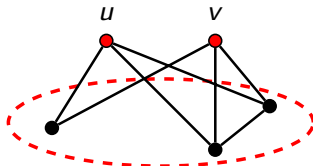
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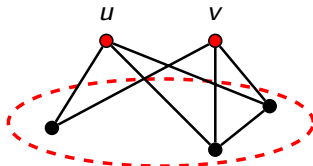


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## Proposition

A graph is **locatable** if and only if it has no **isolated vertices** and **open twins**.

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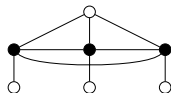
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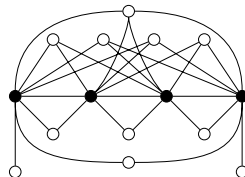
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$$OID(G) = \log_2(n+1)$$



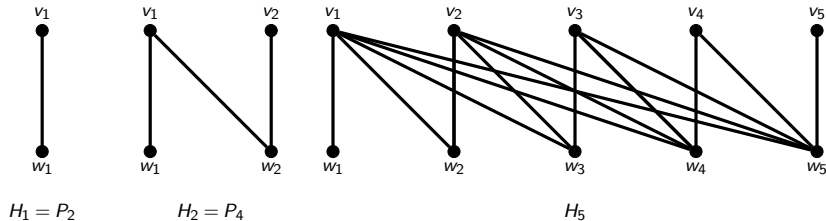
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# Upper bound on $OID(G)$ ?

**Definition** - Half-graph  $H_k$  (Erdős, Hajnal, 1983  )

Bipartite graph on vertex sets  $\{v_1, \dots, v_k\}$  and  $\{w_1, \dots, w_k\}$ , with an edge  $\{v_i, w_j\}$  if and only if  $i \leq j$ .



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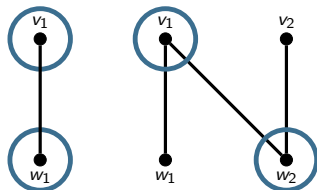
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Some vertices **forced** in any open identifying code because of **domination**

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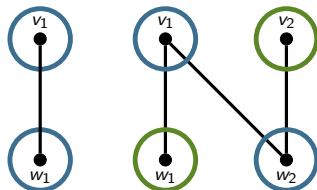
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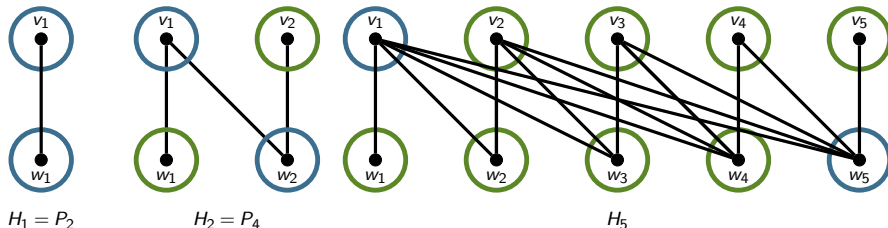
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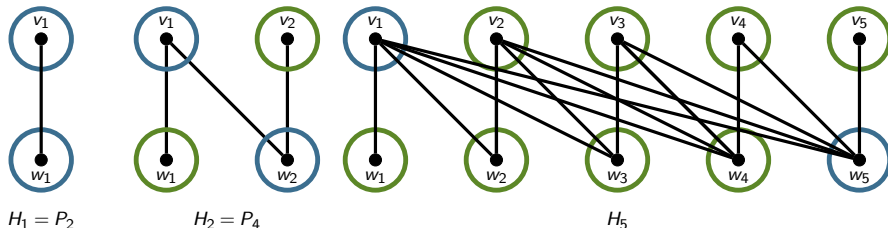


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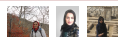
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**Proposition**

For every half-graph  $H_k$  of order  $n = 2k$ ,  $OID(H_k) = n$ .



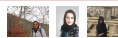
**Theorem** (F., Ghareghani, Roshany Tabrizi, Sharifani, 2021



Let  $G$  be a connected locatable graph of order  $n$ .

Then,  $OID(G) = n$  if and only if  $G$  is a half-graph.

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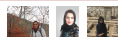
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**Proof:**

Such a graph has only *forced* vertices.

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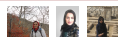
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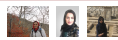
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$G' = G - \{x, y\}$  is locatable, connected and has  $OID(G') = n - 2$ .

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$G' = G - \{x, y\}$  is locatable, connected and has  $OID(G') = n - 2$ .

By induction,  $G'$  is a half-graph. We can conclude that  $G$  is a half-graph too, after some case analysis.



# Location-domination in graphs

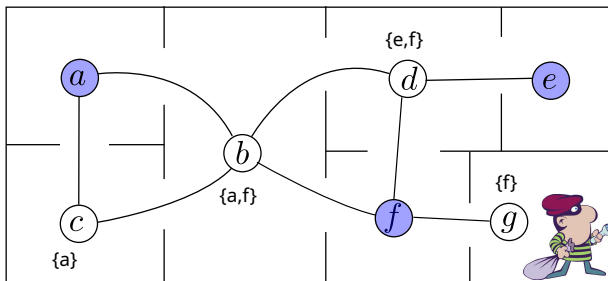
**Definition** - Locating-dominating set (Slater, 1980's)



$D \subseteq V(G)$  locating-dominating set of  $G$ :

- for every  $u \in V$ ,  $N[u] \cap D \neq \emptyset$  (domination).
- $\forall u \neq v$  of  $V(G) \setminus D$ ,  $N(u) \cap D \neq N(v) \cap D$  (location).

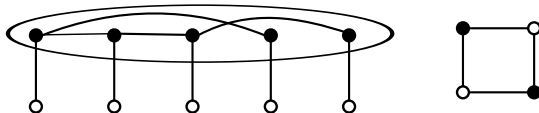
**Notation.** location-domination number  $LD(G)$ ,  
smallest size of a locating-dominating set of  $G$



**Theorem** (Domination bound, Ore, 1960's )

$G$  graph of order  $n$ , no isolated vertices. Then  $DOM(G) \leq \frac{n}{2}$ .

Tight examples:

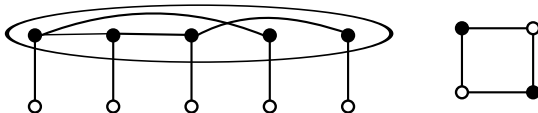




**Theorem** (Domination bound, Ore, 1960's )

$G$  graph of order  $n$ , no isolated vertices. Then  $DOM(G) \leq \frac{n}{2}$ .

Tight examples:



**Proof:** Consider an *inclusionwise minimal* dominating set  $D$  of  $G$ .

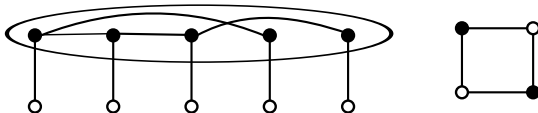
→ its complement set  $V(G) \setminus D$  is also a dominating set!

Thus, either  $D$  or  $V(G) \setminus D$  has size at most  $\frac{n}{2}$ . □

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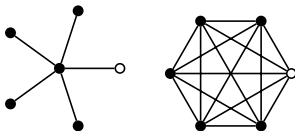
Tight examples:



**Theorem** (Location-domination bound, Slater, 1980's )

$G$  graph of order  $n$ , no isolated vertices. Then  $LD(G) \leq n - 1$ .

Tight examples:



**Remark:** tight examples contain many twin-vertices!!

## Upper bound: a conjecture

**Theorem** (Domination bound, Ore, 1960's )

$G$  graph of order  $n$ , no isolated vertices. Then  $DOM(G) \leq \frac{n}{2}$ .

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### Remark:

- twins are easy to detect
- twins have a trivial behaviour w.r.t. location-domination

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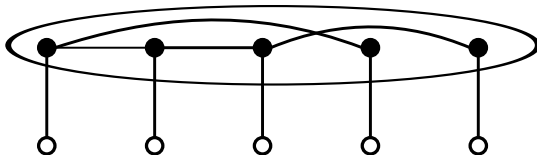
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If true, tight: 1. domination-extremal graphs



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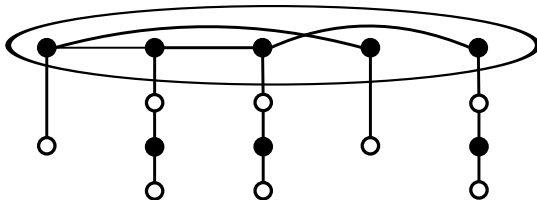
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If true, tight: 2. a similar construction



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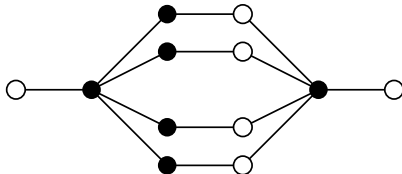
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If true, tight: 3. a family with domination number 2





## Upper bound: a conjecture

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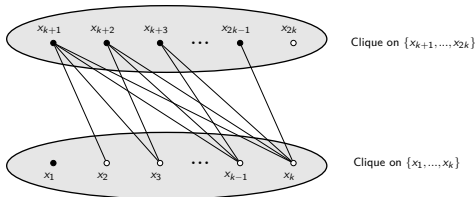
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If true, tight: 4. family with dom. number 2: complements of half-graphs



## Upper bound: a conjecture - special graph classes

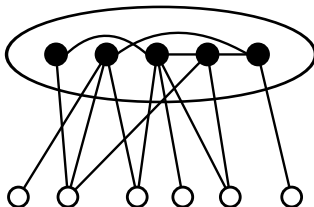
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**Theorem** (Garijo, González & Márquez, 2014 )

Conjecture true if  $G$  has independence number  $\geq n/2$ . (e.g. bipartite)

**Proof:** every vertex cover of a twin-free graph is a locating-dominating set



## Upper bound: a conjecture - special graph classes

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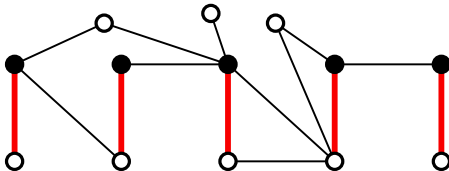
$\alpha'(G)$ : matching number of  $G$

**Theorem** (Garijo, González & Márquez, 2014    )

If  $G$  has no 4-cycles, then  $LD(G) \leq \alpha'(G) \leq \frac{n}{2}$ .

**Proof:**

- Consider special maximum matching  $M$
- Select one vertex in each edge of  $M$



## Upper bound: a conjecture - special graph classes

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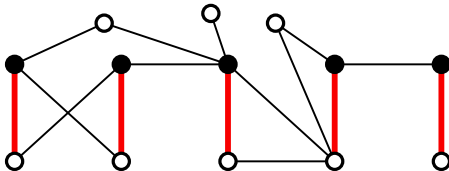
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# Upper bound: a conjecture - special graph classes

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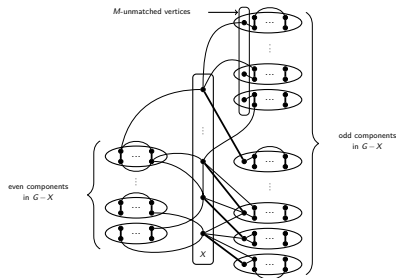
$G$  graph of order  $n$ , no isolated vertices, no twins. Then  $LD(G) \leq \frac{n}{2}$ .

**Theorem** (F., Henning, 2016 )

Conjecture true if  $G$  is cubic.

**Proof:** Involved argument using maximum matching and Tutte-Berge theorem.

$$\alpha'(G) = \min_{X \subseteq V(G)} \frac{1}{2} \left( |V(G)| + |X| - oc(G - X) \right)$$



# Upper bound: a conjecture - special graph classes

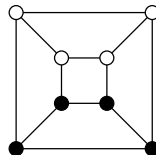
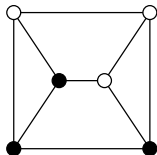
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Bound is **tight** for cubic graphs:



**Question**

Do we have  $LD(G) = \frac{n}{2}$  for other cubic graphs?

## Upper bound: a conjecture - special graph classes

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$\alpha'(G)$ : matching number of  $G$

**Question**

Are there twin-free (cubic) graphs with  $LD(G) > \alpha'(G)$ ?

(if not, conjecture is true)

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Conjecture true if  $G$  is cubic.

**Theorem** (F., Henning, Löwenstein, Sasse, 2016



)

Conjecture true if  $G$  is split graph or complement of bipartite graph.

**Theorem** (Chakraborty, F., Parreau, Wagler, 2023



)

Conjecture true if  $G$  is a block graph.



## Upper bound: a conjecture - general bound

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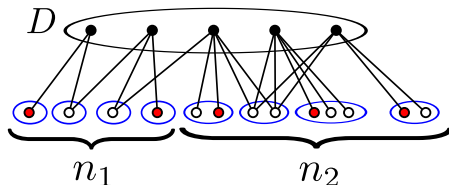
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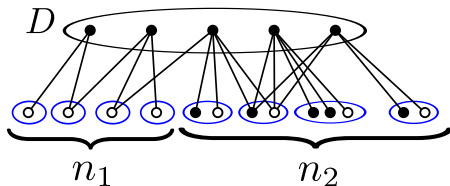
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• there is a LD-set of size  $n - n_1 - n_2$



## Upper bound: a conjecture - general bound

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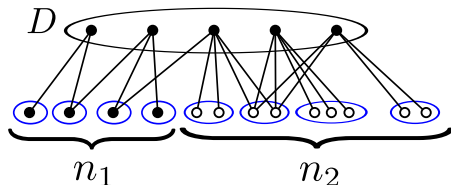
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- there is a LD-set of size  $n - n_1 - n_2$
- there is a LD-set of size  $|D| + n_1$  because  $D$  is maximal



## Upper bound: a conjecture - general bound

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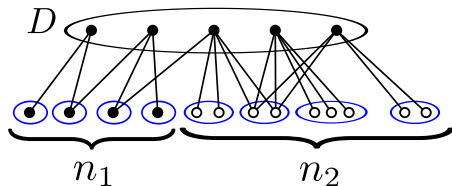
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- there is a LD-set of size  $|D| + n_1$  because  $D$  is maximal
- $\min\{|D| + n_1, n - n_1 - n_2\} \leq \frac{2}{3}n$



# **Lower bounds (neighbourhood complexity)**

### Proposition

$G$  graph,  $n$  vertices,  $LD(G) = k$ . Then,  $n \leq 2^k + k - 1$ .

### Proposition

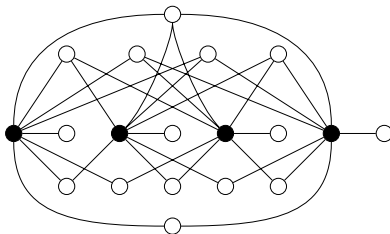
$G$  graph,  $n$  vertices,  $LD(G) = k$ . Then,  $n \leq 2^k + k - 1$ .  $\rightarrow LD(G) \geq \lceil \log_2(n+1) - 1 \rceil$



## Proposition

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Tight example ( $k = 4$ ):



## Proposition

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## Theorem (Slater, 1980's )

$G$  tree of order  $n$ ,  $LD(G) = k$ . Then  $n \leq 3k - 1 \rightarrow LD(G) \geq \frac{n+1}{3}$ .

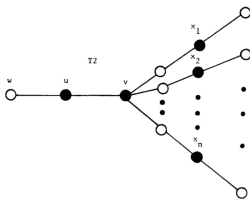


FIG. 2. Tree T2

Tight examples:

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## Theorem (Rall & Slater, 1980's )

$G$  planar graph, order  $n$ ,  $LD(G) = k$ . Then  $n \leq 7k - 10 \rightarrow LD(G) \geq \frac{n+10}{7}$ .

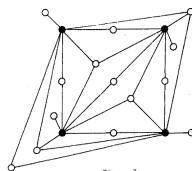
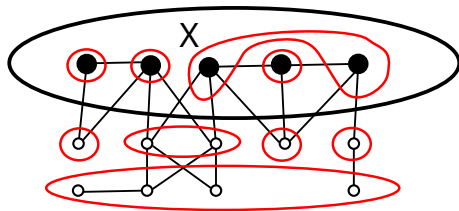


Figure 3.

Tight examples:

Neighbourhood complexity of a graph  $G$ :

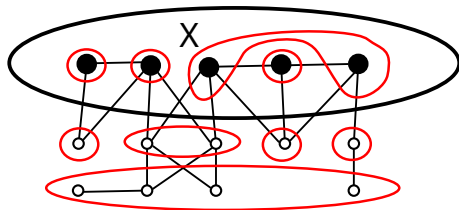
maximum number  $|\{N(v) \cap X\}|$  of neighbourhoods inside any set  $X$  of  $k$  vertices, as a function of  $k$



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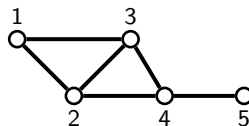
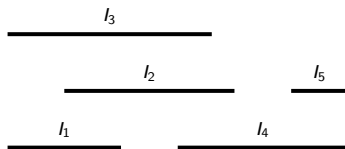


$$|\{N(v) \cap X\}| = 9$$

- General graphs : exponential neighbourhood complexity  $2^k$
- Trees/planar graphs : linear neighbourhood complexity  $O(k)$

## Definition - Interval graph

Intersection graph of intervals of the real line.



**Theorem** (F., Mertzios, Naserasr, Parreau, Valicov, 2017)



$G$  interval graph of order  $n$ ,  $LD(G) = k$ .

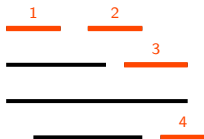
Then  $n \leq \frac{k(k+1)}{2}$ , i.e.  $LD(G) = \Omega(\sqrt{n})$ .

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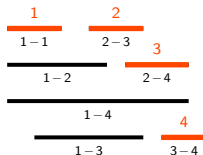


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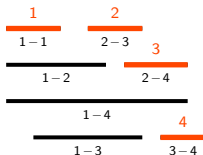
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$$\rightarrow n \leq \sum_{i=1}^k (k - i) = \frac{k(k+1)}{2}.$$

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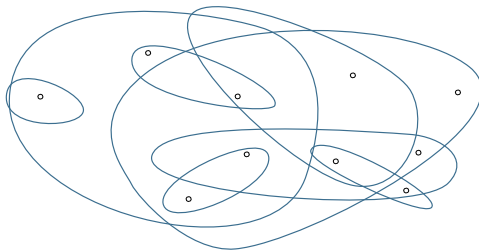




Measure of intersection complexity of sets in a hypergraph  $(X, \mathcal{E})$   
(initial motivation: machine learning, 1971)

A set  $S \subseteq X$  is **shattered**:

for every subset  $S' \subseteq S$ , there is an edge  $e$  with  $e \cap S = S'$ .



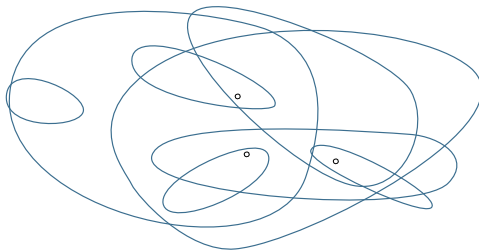
**V-C dimension of  $H$ :** maximum size of a shattered set in  $H$



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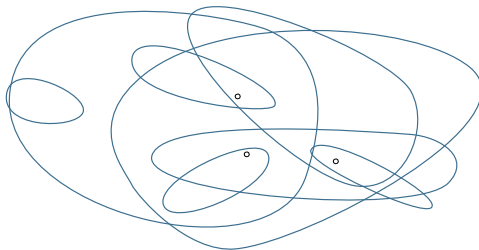
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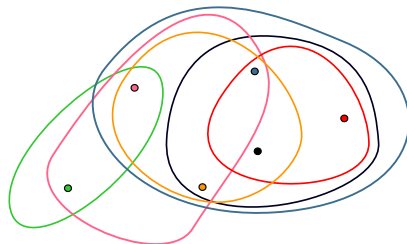
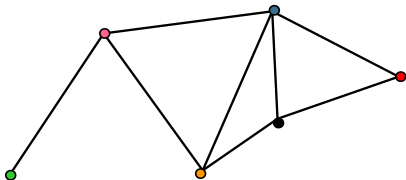


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Typically bounded for **geometric** hypergraphs:



V-C dimension of a **graph**: V-C dimension of its open/closed neighbourhood hypergraph



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$O(k^2)$ : interval, permutation, line...

$O(k)$ : cographs, unit interval, bipartite permutation, block...

Graph classes of **bounded expansion**: all shallow minors of its members have bounded average degree  
→ e.g. planar graphs, minor-closed classes, bounded degree...

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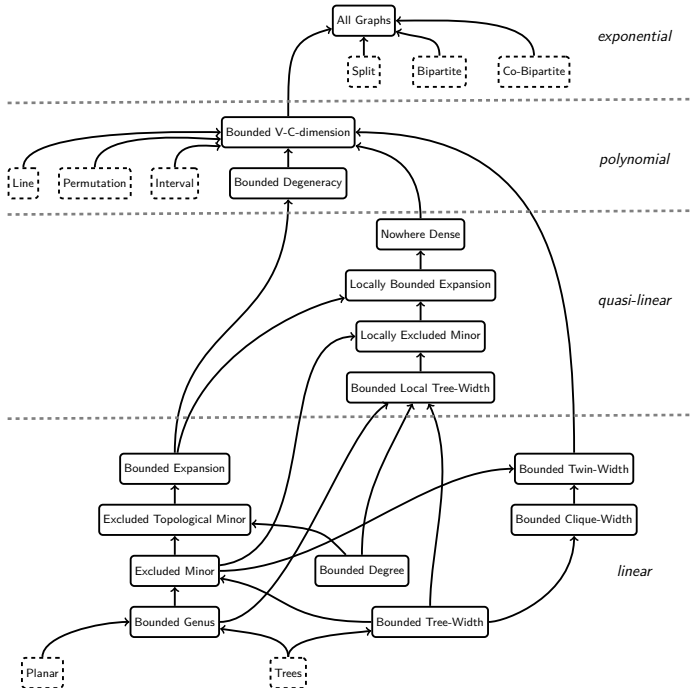
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Recently introduced structural measure: **twin-width**.

**Theorem** (Bonnet, F., Lehtilä, Parreau, 2024 )

Let  $G$  be a graph of twin-width at most  $d$  and order  $n$ , and  $LD(G) = k$ .  
Then,  $n \leq (d+2)2^{d+1}k$ .



# Metric dimension

GPS/GLONASS/Galileo/Beidou/IRNSS:

need to know the exact position of 4 satellites + distance to them



gps.jpg



# Determination of Position in 3D euclidean space

GPS/GLONASS/Galileo/Beidou/IRNSS:

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gps.jpg

Question

Now,  $w \in V(G)$  distinguishes  $\{u, v\}$  if  $\text{dist}(w, u) \neq \text{dist}(w, v)$

**Definition** - Resolving set (Slater, 1975 - Harary & Melter, 1976)



$R \subseteq V(G)$  resolving set of  $G$ :

$\forall u \neq v$  in  $V(G)$ , there exists  $w \in R$  that distinguishes  $\{u, v\}$ .

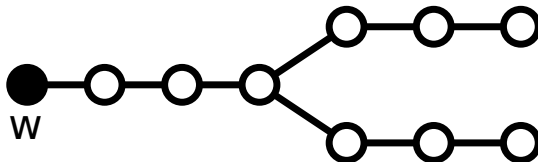
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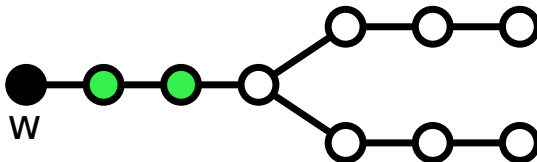
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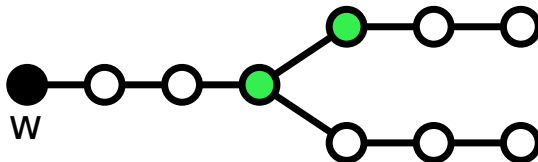
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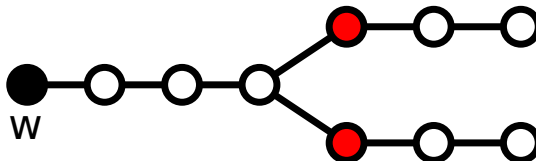
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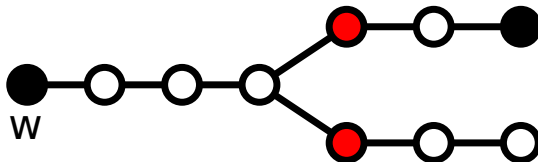
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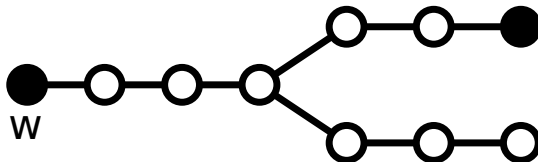
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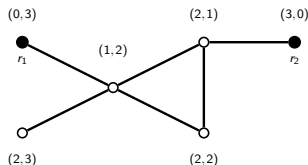
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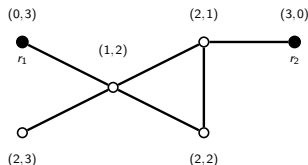
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$$R = \{r_1, r_2\}$$

$$MD(G) = 2$$

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$MD(G)$ : metric dimension of  $G$ , minimum size of a resolving set of  $G$ .

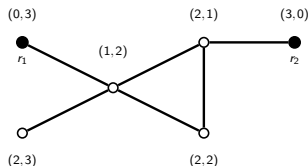
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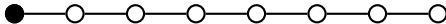
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## Remark

- Any locating-dominating set is a resolving set, hence  $MD(G) \leq LD(G)$ .
- A locating-dominating set can be seen as a “distance-1-resolving set”.





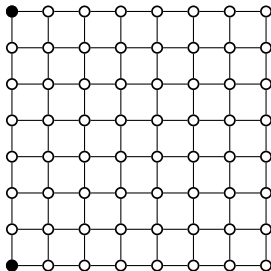
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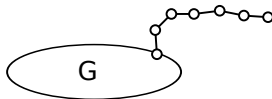
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## Proposition

For any square grid  $G$ ,  $MD(G) = 2$ .

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For any **tree**, the simple leg rule produces an optimal resolving set.

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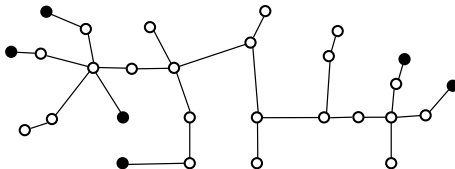
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(diameter  $D$ : maximum distance between two vertices)

**Proof:** Every vertex not in the solution  $R$  is assigned to a unique vector of length  $k$ , with values in  $\{1, \dots, D\}$ :  $D^k$  possibilities, plus the  $k$  ones in  $R$ . □

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→ Proof is similar as that for locating-dominating sets.

**Theorem** (Beaudou, Dankelmann, F., Henning, Mary, Parreau, 2018)

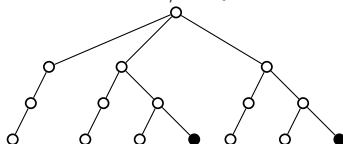


$T$  a tree with diameter  $D$  and  $MD(T) = k$ , then

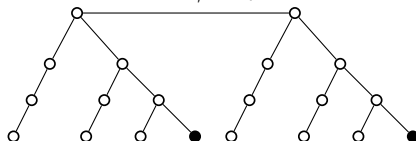
$$n \leq \begin{cases} \frac{1}{8}(kD+4)(D+2) & \text{if } D \text{ even,} \\ \frac{1}{8}(kD-k+8)(D+1) & \text{if } D \text{ odd.} \end{cases} = \Theta(kD^2)$$

Bounds are tight.

$k=2, D=6$



$k=2, D=7$





Using the concept of [distance-VC-dimension](#):

**Theorem** (Beaudou, Dankelmann, F., Henning, Mary, Parreau, 2018 )

$G$  planar with diameter  $D$  and  $MD(G) = k$ , then  $n = O(k^4 D^4)$ .

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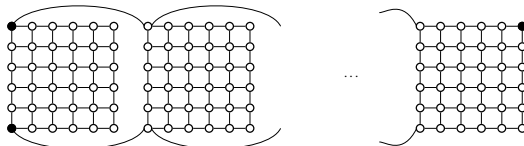
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Tight? Planar example with  $k = 3$  and  $n = \Theta(D^3)$ :



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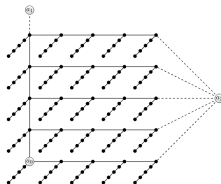
Using the concept of [profiles](#) and [r-neighbourhood complexity](#):

**Theorem** (Joret, Rambaud, 2023+



$G$  planar with diameter  $D$  and  $MD(G) = k$ , then  $n = O(kD^4)$ .

Tight? Planar example with treewidth 2 and  $n = \Theta(kD^3)$ :



- Graphs  $G$  of order  $n$  with  $OID(G) = n - 1$ ?
- Conjecture:  $LD(G) \leq n/2$  in the absence of twins
- Find tight bounds for Metric Dimension of planar graphs of diameter  $D$   
(and other classes)
- Neighbourhood complexity at distance  $r$   
→ graphs of bounded twin-width, planar graphs...
- Algorithms : efficient algorithms for unit interval graphs?

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THANKS FOR YOUR ATTENTION!

