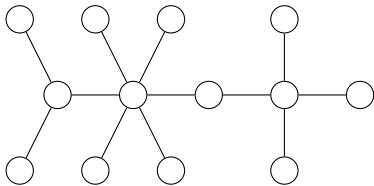
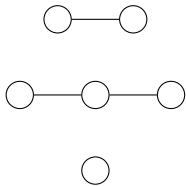


# A Caro-Wei bound for induced linear forests in graphs

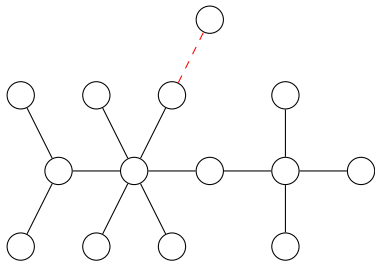
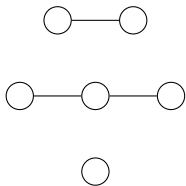
Gwenaël Joret   Robin Petit

22 November 2023

- Graphs are simple, undirected, unweighted.
- For  $W \subseteq V(G)$ ,  $G[W]$  is the subgraph *induced* by  $W$ .
- $S$  is an *independent (stable)* set in  $G$  if  $E(G[S]) = \emptyset$ .
- A *forest* is an acyclic graph.
- A forest is *linear* if it is a union of disjoint paths.
- A *caterpillar* is a path with an arbitrary number of leaves added.



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## Theorem 1 (Caro-Wei, 1979-1981)

*Every graph  $G$  admits an independent set of size at least:*

$$\sum_{v \in V(G)} \frac{1}{d(v) + 1}$$

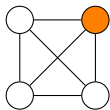
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$K_n$

$n$  ( $\geq 1$ ) vertices of degree  $n - 1$ .

$$\sum_{v \in V(G)} \frac{1}{d(v) + 1} = n \frac{1}{n - 1 + 1} = 1.$$

## Theorem 2 (Corollary from Alon, Kahn and Seymour, 1987)

*Every graph  $G$  without isolated vertices admits an induced forest of size at least:*

$$\sum_{v \in V(G)} \frac{2}{d(v) + 1},$$

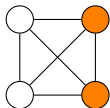
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$n$  ( $\geq 2$ ) vertices of degree  $n - 1$ .

$$\sum_{v \in V(G)} \frac{2}{d(v) + 1} = n \frac{2}{n - 1 + 1} = 2.$$

### Theorem 3 (Akbari, Amanihamedani, Mousavi, Nikpey and Sheybani, 2019)

*For  $d \geq 1$ , every  $d$ -regular graph  $G$  admits an induced linear forest of size at least  $\frac{2n}{d+1}$  and this bound is tight.*



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### Conjecture 1 (Akbari *et al.*, 2019)

*Every graph  $G$  satisfying  $\delta(G) \geq 2$  admits an induced linear forest of size at least:*

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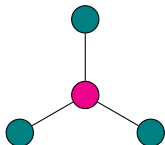
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$$3 \times f(1) + 1 \times f(3) \leq 3 \quad \text{but} \quad 3 + \frac{2}{4} > 3.$$

We proved that conjecture:

### Theorem 4

Let  $f : \mathbb{N} \rightarrow [0, 1]$  defined as follows:

$$f(d) = \begin{cases} 1 & \text{if } d = 0 \\ \frac{5}{6} & \text{if } d = 1 \\ \frac{2}{d+1} & \text{else.} \end{cases}$$

Every graph  $G$  admits an induced linear forest of size at least  $\sum_{v \in V(G)} f(d(v))$ , and this bound is tight.

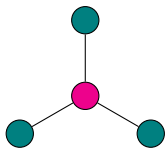
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Solve  $3 \times f(1) + 1 \times f(3) \leq 3$  for  $f(1)$  to get  $\frac{5}{6}$ .

Observe that:

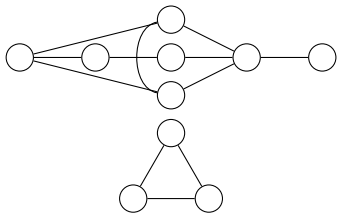
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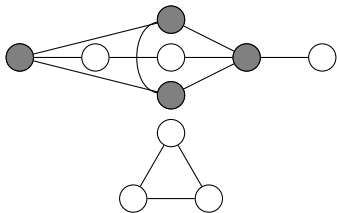
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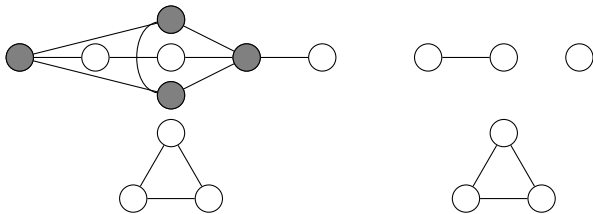
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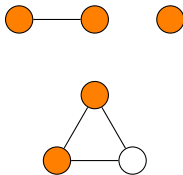
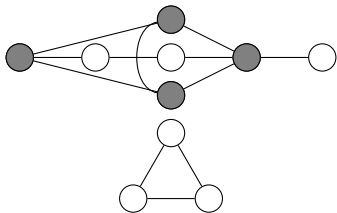




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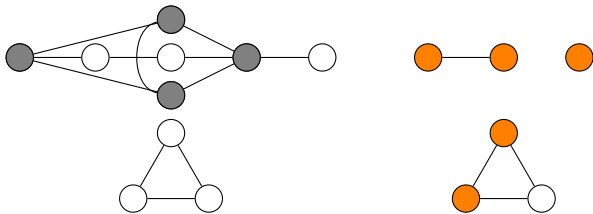
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What about  $\frac{5}{6} < f(1) < 1$ ? We can fill the gap!

## Theorem 5

For every  $\varepsilon \in [0, 1/6]$ , let  $f_\varepsilon : \mathbb{N} \rightarrow [0, 1]$  defined as follows:

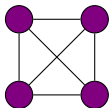
$$f_\varepsilon(d) = \begin{cases} 1 & \text{if } d = 0 \\ 1 - \varepsilon & \text{if } d = 1 \\ \frac{2}{3} & \text{if } d = 2 \\ \min\{3\varepsilon, \frac{2}{d+1}\} & \text{if } d \geq 3. \end{cases}$$

Every graph  $G$  admits an induced linear forest of size at least  $\sum_{v \in V(G)} f_\varepsilon(d(v))$ , and this bound is tight. Furthermore:

- The optimal  $\varepsilon$  on a given graph can be computed easily.
- These functions entirely characterise the lower bounds.

Why  $\min\{3\varepsilon, \frac{2}{d+1}\}$ ?

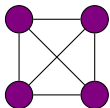
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Start with  $G = K_n$  ( $n \geq 1$ ).

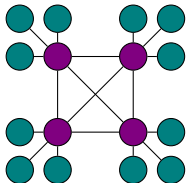
Then replace each original vertex from the clique by a  $K_{1,3}$ .

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Then replace each original vertex from the clique by a  $K_{1,3}$ .



$$3 \times n \times f(1) + n \times f(n+2) \leq 3 \times n.$$

$$n \times f(n+2) \leq 3 \times n \times \varepsilon$$

$$f(n+2) \leq 3 \times \varepsilon$$

## Theorem 2 (Alon, Kahn and Seymour, 1987)

*Every graph  $G$  without isolated vertices admits an induced forest of size at least:*

$$\sum_{v \in V(G)} \frac{2}{d(v) + 1},$$

*and this bound is tight.*

## Theorem 6

*Every graph  $G$  without isolated vertices admits an induced forest of caterpillars of size at least:*

$$\sum_{v \in V(G)} \frac{2}{d(v) + 1},$$

*and this bound is tight.*

A linear forest is nothing but a caterpillar of max degree at most 2.  
What “about at most  $k$ ”?



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What “about at most  $k$ ”?

### Theorem 7

For every  $k \geq 2$  and  $\varepsilon \in [0, 2/(k+1)(k+2)]$ , let  $f_{k,\varepsilon} : \mathbb{N} \rightarrow [0, 1]$  defined as follows:

$$f_{k,\varepsilon}(d) = \begin{cases} 1 & \text{if } d = 0 \\ 1 - \varepsilon & \text{if } d = 1 \\ \frac{2}{d+1} & \text{if } 2 \leq d \leq k \\ \min\{(k+1)\varepsilon, \frac{2}{d+1}\} & \text{if } d \geq k+1. \end{cases}$$

Every graph  $G$  admits an induced forest of caterpillars of degree at most  $k$  of size at least  $\sum_{v \in V(G)} f_{k,\varepsilon}(d(v))$ , and this bound is tight. Again, the optimal  $\varepsilon$  can be easily computed, and these functions entirely characterise the lower bounds.

- 1 Caro-Wei for independent sets (1979-1981).
- 2 Alon *et al.* for induced forests (1987).
- 3 Extension to caterpillars with the same bound.
- 4 Akbari *et al.* for induced *linear* forests in *regular* graphs (2019).
- 5 Characterisation of all the lower bounds for induced linear forests in all graphs.
- 6 Generalisation of this characterisation to forests of caterpillars of bounded degree.

Thanks for your attention! Any questions?

Choice of an optimal  $\varepsilon$  in Theorem 5:

- Note  $N_k$  the number of vertices with  $d(v) = k$ .
- If  $3 \sum_{d=3}^{\Delta(G)} N_d < N_1$ , define  $\varepsilon^*(G) = 0$ .
- Else, let  $D^*(G)$  be the smallest integer  $D$  such that  $3 \sum_{d=3}^D N_d \geq N_1$ , and define  $\varepsilon^*(G) = \frac{2}{3(D^*(G)+1)}$ .

Then for every  $\varepsilon \in [0, 1/6]$ :

$$\sum_{v \in V(G)} f_{\varepsilon^*(G)}(d(v)) \geq \sum_{v \in V(G)} f_{\varepsilon}(d(v)).$$

Proof of Theorem 5: by the following Theorem.

### Theorem 8

For a given graph  $G$ , define  $C_G : V(G) \rightarrow [0, 1]$  as follows:

$$C_G(v) = \begin{cases} 1 & \text{if } d(v) = 0 \text{ or } d(v) = 1 \text{ and } d(w) \leq 2 \\ 1 - \frac{2}{3(d(w)+1)} & \text{if } d(v) = 1 \text{ and } d(w) \geq 3 \\ \frac{2}{d(v)+1} & \text{if } d(v) \geq 2. \end{cases}$$

Every graph  $G$  admits an induced linear forest of size at least  $\sum_{v \in V(G)} C_G(v)$ .

Idea of proof of Theorem 8:

- 1 Remove every vertex with  $\geq 3$  leaves.
- 2 Partition  $V(G)$  based on the number of leaves.
- 3 Remove (temporarily) the leaves.
- 4 Find a specific induced linear forest in what is left (bound on the degree).
- 5 Put back the leaves.
- 6 Tadaaaa.

Step 4 is the “hard one”: the ABC lemma.

## Theorem 9

For every  $\varepsilon \in [0, 1/6]$ , let  $f_\varepsilon : \mathbb{N} \rightarrow [0, 1]$  defined as follows:

$$f(d) = \begin{cases} 1 & \text{if } d = 0 \\ 1 - \varepsilon & \text{if } d = 1 \\ \min\{\frac{3}{5}, \frac{1}{2} + \varepsilon\} & \text{if } d = 2 \\ \min\{\frac{2}{d+1}, \frac{1}{d} + \varepsilon\} & \text{if } d \geq 3. \end{cases}$$

Every graph  $G$  admits an induced forest of stars of size at least  $\sum_{v \in V(G)} f_\varepsilon(d(v))$ , and this bound is tight. These functions entirely characterise the lower bounds.

## Theorem 10 (Alon, Kahn and Seymour, 1987)

For every integer  $k$ , every graph  $G$  admits an induced subgraph  $H \leq G$  that is  $k$ -degenerate of size at least:

$$\sum_{v \in V(G)} \min \left\{ 1, \frac{k+1}{d(v)+1} \right\},$$

and this bound is tight.

Where a graph  $H$  is  $k$ -degenerate if every subgraph of  $H$  has a vertex of degree at most  $k$ .